CONTINUUM EMPLOYEE ASSISTANCE ◆ TRAINING ◆ CONSULTING THREE YEAR CONTRACT January 1, 2012 - December 31, 2014

CITY OF LINCOLN

This contract is entered into by and between CITY OF LINCOLN, NEBRASKA, a municipal corporation, hereinafter called the "City", and the Continuum Employee Assistance ♦ Training ♦ Consulting, a non-profit corporation, hereinafter called "EAP".

WITNESSETH:

WHEREAS, there currently exist "troubled employees" working for the City with personal problems which affect job performance, and

WHEREAS, EAP has established a program of assessment and referral in such areas as alcohol abuse, family, marital, emotional, financial, and legal concerns,

WHEREAS, it is in the best interest of the employees that the City have a program of assisting and rehabilitating "troubled employees."

NOW THEREFORE, IN CONSIDERATION OF the mutual promises and agreements hereinafter contained, it is agreed between the parties as follows:

EAP agrees to provide the following services as appropriate and/or as requested by the City/County Personnel Department.

A. COMPANY SERVICES:

- 1. Ongoing assistance in maintaining an Employee Assistance Program policy statement regarding employees with job performance problems and/or with personal problems which may affect job performance.
- 2. Ongoing assistance in integrating the Employee Assistance Program with existing City's policies and procedures. Upon request of the City, and EAP Consultant shall participate in scheduled meetings with supervisory personnel as a resource person for job performance and EAP related issues.
- 3. Review of the City's insurance options currently available to employees.
- 4. On-Site and online EAP training for supervisors and union representatives on how to work effectively with employees who are not performing satisfactorily. This initial EAP training module for supervisors and union representatives focuses on how to work with an employee with job performance problems and when and how to refer to EAP.

Update meetings with supervisors are available each year to report on activities and clarify the role of the supervisors

working with employees with unsatisfactory work performance.

- 5. On-Site and online Employee Orientations programs are available for all new employees or as a refresher.
- 6. All-Company Training Programs and Events: These are topical training programs and events sponsored by EAP and include participants from multiple companies. Training formats may be "live" programs at EAP's training facilities and/or via webinar.
- 7. Personalized Training Programs: These are topical training programs selected by the City from EAP's training options and personalized to your employee groups. Formats include "live" programs held on-site and/or webinars. Programs can be designed as Lunch and Learn sessions or 1-2 hour interactive programs. The EAP consultant will consult regarding your training goals to help select the program and format that best meets those goals. Twenty (20) hours of City/County sponsored trainings and Ten (10) hours of City department specific trainings are included annually. Upon the City's request, EAP will provide additional hours of personalized training or longer program formats, when available, at EAP's member company discount rate.
- 8. Ongoing consultation services for all management and union representatives on how to approach an employee who is not performing satisfactorily. Consultation services include assistance in how to document job performance problems, how to set targets for improvement, how to prepare for a corrective interview, how to evaluate subsequent work performance and when and how to refer an employee to the Employee Assistance Program. Consultation services on human resources and other workplace policies and issues are also available.
- 9. Crisis Response Services in the case of traumatic events. Services include consultation to tailor the response to each event, critical incident stress management, grief and loss intervention, and individual intervention.
- 10. DOT (SAP) and Non-DOT alcohol/drug services are available.
- 11. Specialized Lincoln Police and Fire Department IRO Programming and Services. Included are such services as serving on the IRO selection committee, regular IRO meetings, critical incident management, IRO training, orientations for new recruits, statistical utilization reports and ongoing program development.
- 12. Conflict Resolution Services to assist managers to resolve conflicts between two or more employees.
- 13. Publicity materials for employees and family members, such as brochures, posters, monthly newsletters and quarterly featured topics are available. EAP is also available to attend City sponsored health/wellness fairs and benefits meetings.
- 14. Ongoing coordination with the designated contact person of the City to maintain the program and evaluate its effectiveness.
- 15. Semi-Annual and annual reports summarizing the use and activities of the program, client demographics, nature of problems, outcome data, and client satisfaction survey results.

B. CLIENT SERVICES:

- 1. Counseling services for employees and their family members for a variety of personal problems. EAP direct client services include the following services: assessment, short-term EAP problem-solving, referral to an appropriate community resource for continued care, consultation as needed with the supervisor or union representative noted in the signed release and ongoing consultation and follow-up with the referral source and client to monitor progress.
- 2. Counseling services are provided in the EAP's office or at the City. Some problem solving may be done over the phone. EAP's WATS line is available to clients residing outside of Lincoln.

- 3. Twenty-four hour emergency telephone and counseling service to the City's employees and their immediate family members.
- 4. Work/Life consultation services including information, education, and resources on topics such as parenting, caregiver support and grief. Services may be provided via telephone, mail, e-mail, or face-to face.
- 5. Legal and Financial services through EAP's networked professionals. Services include a free initial consultation and individuals may receive a 25% discount off rates when retaining a networked provider. These services are not available for matters involving disputes or actions between the employee and employer. A financial and legal website is also made available to the City's employees and their family members.
- 6. Wellness Coaching to support and individual's wellness goals.

C. CITY RESPONSIBILITIES:

The City agrees to participate in the following activities which are intended to enhance the effectiveness of its Employee Assistance Program.

- 1. Ongoing distribution of publicity material to notify employees and family members of the availability of the program.
- 2. Maintenance of a separate, confidential filing system for confidential correspondence relating to City employees or family members.
- 3. Maintain a pattern of communication with EAP staff to monitor progress of the program; consultation with supervisory and union personnel to encourage referrals to the EAP and subsequent follow-up.
- 4. Internal coordination and scheduling of supervisory training and employee orientation and education programs.

D. CONTINUUM HELPNET - WEB-BASED WORK/LIFE SERVICES:

1. Continuum HelpNet provides employees and family members access to information, skill building, and assessments in the areas of emotional wellness, family, personal development, health and fitness, school, and management and workplace issues. EAP will provide the City periodic reports on the level of use of these services.

These on-line services are not meant to nor can replace the specialized training and judgment of health, mental health, legal, financial or other professionals. This Service is not a substitute for professional treatment or services.

License Terms are as follows:

- A. Continuum sublicense shall grant the City the non-transferable, non-exclusive right to sublicense access to and use of the website found at the URL www.4Continuum.com (the "Website") for City employees and eligible family members.
- B. The Account shall acknowledge that the Website is proprietary in nature and that Work Place Options claims all copyright, patent, trade secret and trademark rights granted by law. The sublicense shall prohibit the City from removing any copyright or trademark notices or confidential legends or identification from the Website.
- C. The City shall expressly and conspicuously disclaim all express or implied warranties of merchantability and fitness for a particular purpose, shall exclude liabilities for consequential damages and lost profits and shall limit the obligations to the Account to recovery or refund of the sublicense fee paid by the City.
- D. The sublicense shall state that: City shall not reverse engineer, disassemble, decompile or otherwise

decode by any method the Website in whole or in part for any purpose whatsoever

E. EAP shall have the right to terminate the sublicense in the event the City: (a) modifies, distributes or uses Website in a manner not expressly authorized by the sublicense; (b) makes any representations regarding the Website that are not true and correct, or (c) becomes insolvent or commits any act of bankruptcy.

F. The City agrees that it shall comply with all applicable laws.

E. LAWS OF GOVERNANCE:

This agreement is being made and delivered, and EAP and the City intent that it shall be construed and enforced in

accordance with the laws of the State of Nebraska.

F. CONTRACT TERMS

The term of this Agreement shall be three years, beginning January 1, 2012 and ending December 31, 2014. At

the end of the three year period, the City shall have the option to renew the Agreement for another three year period. If

the City opts to renew for an additional three-year period, the fee will be negotiated based upon the last three year's

usage. Assuming the employee population at the City remains relatively constant at 1922, EAP fees will be as shown,

but can be further negotiated at the time of each annual review if the City's employee population increases or decreases

by 10% from the previous year.

Fees for HelpNet Services:

The rate for these services is \$3.00 per employee per year. The initial fee is \$5964 annually for your 1922

employees and covers the period of January 1, 2012 - December 31, 2012. HelpNet fees for year 2 and 3 of the

contract will be billed at the rate of \$3.00 per employee per year based on your employee count at the start of each

year.

Fees for EAP Services:

Year One: January 1, 2012 - December 31, 2012

Employee Population: 1922

Fee: \$69,300.00

Year Two: January 1, 2013 - December 31, 2013

Fee: \$69,300.00

Year Three: January 1, 2014 - December 31, 2014

Fee: \$69,300.00

Costs in excess of the latest approved contract or attributable to actions which have not received

4

required approval of the City-County Personnel Department shall not be chargeable against the City and the City shall not be liable for any such unauthorized cost.

EAP shall permit and require its agents and employees to permit the Finance Director of the City or his authorized representative to inspect all work, materials, payroll, records or personnel, invoices of material, and other relevant data and records, and to audit the books, records, and accounts of EAP pertaining to this Contract. EAP shall submit a copy of it annual independent audit to the Finance Director of the City within thirty (30) days of receipt of such audit.

EAP shall not execute any contract or obligate itself in any manner with any third party with respect to the project provided herein without prior written concurrence of the City/County Personnel Department.

In connection with the carrying out of this Contract, EAP shall not discriminate against employees or applicants for employment because of race, color, religion, sex, disability, national origin, age, or marital status. In the employment of persons, EAP shall fully comply with the provision of Chapter 11.08 of the Lincoln Municipal Code and shall take affirmative action to ensure that applicants are employed and that employees are treated during employment without regard to their race, color, religion, sex, disability, national origin, age or marital status.

During the term of this contact, EAP agrees to comply with the requirements of the Immigration Reform and Control Act of 1986.

Neither EAP nor any of its contractors or subcontractors shall enter into any contract or subcontract or arrangement in connection with the program in which any office, agency, or employee of EAP during his tenure or from one year thereafter has any financial interest direct or indirect.

The City shall not be obligated or liable hereunder to any party other than EAP.

In the event of any breach or default hereunder by EAP during the term of this Contract in performing the terms and conditions required of EAP hereunder then and upon the happening of such event, the City shall give written notice to EAP of such breach of default and EAP shall immediately surrender to the Finance Director of the City the prorated balance remaining in the contract year.

If any portion of this Contract is held invalid, the remainder hereof shall not be affected if such remainder would then continue to conform to the terms and requirements of the applicable law.

Should funding not be appropriated for any employee assistance services before December 2014, the City may notify EAP in writing and cancel this contract. A refund, if any, shall be prorated based upon the number of months of service and the total annual cost for that year.

This contract represents the entire and integrated agreement between the City and EAP and supersedes all prior negotiations, representations or agreements, either written or oral. This contract may be amended or assigned upon written agreement of the parties and signed by the City and EAP.

The terms of this contract	The terms of this contract shall be for a period of three years from and after January 1, 2012.									
IN WITNESS WHERE	IN WITNESS WHEREOF the parties have hereto caused this Contract to be executed this									
day of, 20										
ATTEST:										
City of Lincoln, Nebraska a municipal corporation		Continuum EAP A non-profit corporation								
		50 S/a								
Mayor		Board President, Steve Sloup								

Date

Executive Director, Kristine Brennan

OP ID: BW



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

07/21/11

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

	certificate holder in lieu of such endo	rseme	nt(s							ū	
PRODUCER The Glienke Agency, L.L.C. 1200 Valley West Dr.; Ste 503 West Des Moines, IA 50266				515-267-8555	CONTAC NAME:	Ť					
			515-222-5999			PHONE FAX					
						(A/C, No, Ext): (A/C, No): E-MAIL ADDRESS:					
Sc	ott R. Glienke, CPCU, CIC				PRODUC	ER CON	TL2				
					CUSTOMER ID #: CON 11-2						
						INSURER(S) AFFORDING COVERAGE					
INSURED Continuum Employee Assistance						INSURER A : Philadelphia Indemnity Ins. Co					
1135 M Street, Suite 400 Lincoln, NE 68508-2196					INSURER B:						
	Lincolli, 14L 00300-2190				INSURER C:						
					INSURER D:						
						INSURER E :					
CC	VERAGES CE	RTIFIC	ATE	NUMBER:	INSURER F:						
****					REVISION NUMBER: VE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD						
- 1	NDICATED. NOTWITHSTANDING ANY R	EQUIR	EME	NT. TERM OR CONDITION	OF ANY	CONTRACT	OR OTHER	DOCUMENT WITH RESPE	CT TO	WHICH THIS	
	ERTIFICATE MAY BE ISSUED OR MAY	PERTA	AIN.	THE INSURANCE AFFORD	FD BY T	HE POLICIES	S DESCRIBE	D HEREIN IS SUBJECT T	O ALL	THE TERMS,	
INICO	XCLUSIONS AND CONDITIONS OF SUCH	POLIC ADDL S									
INSR LTR	TYPE OF INSURANCE	INSR	WVD	POLICY NUMBER	(0	POLICY EFF MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMIT	S		
	GENERAL LIABILITY							EACH OCCURRENCE	\$	1,000,000	
Α	X COMMERCIAL GENERAL LIABILITY	X		PHPK587461		07/23/10	07/23/11	DAMAGE TO RENTED PREMISES (Ea occurrence)	\$	100,000	
	CLAIMS-MADE X OCCUR					ļ		MED EXP (Any one person)	\$	5,000	
	X Pro. Liability								1	1,000,000	
	X Phys/Sex Abuse	1						PERSONAL & ADV INJURY	\$		
								GENERAL AGGREGATE	\$	3,000,000	
	GEN'L AGGREGATE LIMIT APPLIES PER:							PRODUCTS - COMP/OP AGG	\$	3,000,000	
	X POLICY PRO- JECT LOC	-						EBLI	\$	1,000,000	
A	ANY AUTO		DUDK597464	PHPK587461	07/22/40	07/23/10	07/23/11	COMBINED SINGLE LIMIT (Ea accident)	\$	1,000,000	
				FIFN30/401		07723710	07723711	BODILY INJURY (Per person)	\$		
	ALL OWNED AUTOS							BODILY INJURY (Per accident)	\$		
	SCHEDULED AUTOS							PROPERTY DAMAGE	-		
	X HIRED AUTOS							(Per accident)	\$		
	X NON-OWNED AUTOS								\$		
									\$		
	UMBRELLA LIAB OCCUR						EACH OCCURRENCE	s	1,000,000		
_	EXCESS LIAB CLAIMS-MADE				07/23/10			AGGREGATE	\$	1,000,000	
Α	DEDUCTIBLE			PHUB311937		07/23/11	AGGNEGATE		1,000,000		
	Y 40000							\$	N		
	WORKERS COMPENSATION	 -						WC STATU- OTH-	\$		
	AND EMPLOYERS' LIABILITY V/N							WC STATU- OTH- TORY LIMITS ER			
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?	N/A						E.L. EACH ACCIDENT	\$		
	(Mandatory in NH) If yes, describe under		l					E.L. DISEASE - EA EMPLOYEE	\$		
	DESCRIPTION OF OPERATIONS below							E.L. DISEASE - POLICY LIMIT	\$		
Α	Property Section			PHPK587461		07/23/10	07/23/11	Contents		80,765	
Α	Crime							Emp Theft		50,000	
DESC The iabi	RIPTION OF OPERATIONS / LOCATIONS / VEHIC City of Lincoln is named as addition lity policy.	LES (Att	ach A	CORD 101, Additional Remarks S d concerning the genera	schedule, if al	more space is r	required)				
CEF	RTIFICATE HOLDER	·			CANCE	LLATION	7.1				
<u></u>	THE TOTAL OF THE PARTY OF THE P				***						
City of Lincoln Attn: Don Taute 555 South 10th Street Lincoln, NE 68508					SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE						